

Critical Appraisal Worksheets

壹..Primary Studies

CRITICAL REVIEW FORM FOR THERAPY

- I. Are the Results Valid?
 - 1. Was the assignment of patients to treatments randomized?
 - 2. Were all patients who entered the trial properly accounted for and attributed at its conclusion?
 - a. Was follow-up complete?
 - b. Were patients analyzed in the groups to which they were randomized?
 - 3. Were patients, clinicians, and study personnel "blind" to treatment?
 - 4. Were the groups similar at the start of the trial?
 - 5. Aside from the experimental intervention, were the groups treated equally?
- II. What Are the Results?
 - 1. How large was the treatment effect?
 - 2. How precise is the estimate of the treatment effect?
- III. Will the Results Help Me in My Patient Care?
 - 1. Can the results be applied to my patients?
 - 2. Were all clinically important outcomes considered?
 - 3. Are the benefits worth the harms and costs?

References: JAMA 1993; 270: 2598-2601, JAMA 1994; 271: 59-63

CRITICAL REVIEW FORM FOR A DIAGNOSTIC TEST

- I. Are the Results Valid?
 - 1. Was there an independent, blind comparison with a reference standard?
 - 2. Did the patient sample include an appropriate spectrum of patients to whom the test will be applied?
 - 3. Did the results of the test being evaluated influence the decision to perform the reference standard?
 - 4. Were the test methods described clearly enough to permit replication?
- II. What Are the Results?
 - 1. What are the likelihood ratios for the test results?
- III. Will the Results Help Me In My Patient Care?
 - 1. Will the test be reproducible and well interpreted in my practice setting?
 - 2. Are the results applicable to my patients?
 - 3. Will the results change my management?
 - 4. Will my patients be better off because of the test?
- References: JAMA 1994; 271: 389-391, JAMA 1994; 271: 703-707

CRITICAL REVIEW FORM FOR HARM

- I. Are the Results Valid?
 - 1. Except for the exposure under study, were the compared groups similar to each other?
 - 2. Were the outcomes and exposures measured in the same ways in both groups?
 - 3. Was the follow-up of patients sufficiently long and complete?
 - 4. Is the temporal relationship correct?
 - 5. Is there a dose-response gradient?
- II. What Are the Results?
 - 1. How strong is the association between exposure and outcome?
 - 2. How precise is the estimate of risk?
- III. Will the Results Help Me In My Patient Care?
 - 1. Are the results applicable to my patients?
 - 2. What is the magnitude of the risk?
 - 3. Should I attempt to stop the exposure?

Reference: JAMA 1994; 271: 1616-1619

CRITICAL REVIEW FORM FOR PROGNOSIS

- I. Are the Results Valid?
 - 1. Was there a defined representative sample of patients assembled at a similar point in the course of the disease?
 - 2. Was follow-up sufficiently long and complete?
 - 3. Were objective and unbiased outcome criteria used?
 - 4. Was there adjustments for important prognostic factors?
- II. What Are the Results?
 - 1. How likely are the outcomes over time?
 - 2. How precise are the estimates of prognosis?
- III. Will the Results Help Me In My Patient Care?
 - 1. Were the study patients similar to my own?
 - 2. Will the results lead directly to selecting or avoiding therapy?
 - 3. Are the results useful for counseling patients?

Reference: JAMA 1994; 272: 234-237

CRITICAL REVIEW FORM ON APPLICABILITY

I. BIOLOGIC:

- Are there pathophysiologic differences in the illness under study that may lead to a diminished treatment response?
- 2. Are there patient differences that may diminish the treatment response?
- II. SOCIAL AND ECONOMIC:
 - Are there important differences in patient compliance that may diminish the treatment response?
 - 2. Are there important differences in provider compliance that may diminish the treatment response?

III. EPIDEMIOLOGIC:

- Do my patients have comorbid conditions that significantly alter the potential benefits and risks of treatment?
- 2. Are there important differences in untreated patients at risk of adverse outcomes that might alter the efficiency of treatment?

References: JAMA 1994; 271: 389-391, JAMA 1994; 271: 703-707

貳..Integrative Studies

CRITICAL REVIEW FORM FOR SYSTEMATIC REVIEW

- I. Are the Results Valid?
 - 1. Did this review address a focused clinical question?
 - 2. Were the criteria for article inclusion appropriate?
 - 3. Is it unlikely that relevant studies were missed?
 - 4. Was the validity of the included studies appraised?
 - 5. Was the assessments of studies reproducible?
 - 6. Were the results similar from study to study?

II. What Are the Results?

- 1. What are the overall results of the review?
- 2. How precise are the results?
- III. Will the Results Help Me In My Patient Care?
 - 1. Can the results be applied to my patients?
 - 2. Were all clinically important outcomes considered?
 - 3. Are the benefits worth the harms and costs?

References: JAMA 1994; 272: 1367-1371

CRITICAL REVIEW FORM FOR A PRACTICE GUIDELINE

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I. Are the Results Valid?
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- Were all important options and outcomes clearly specified?
- Was an explicit and sensible process used to identify, select, and combine the evidence?
- 3. Was an explicit and sensible process used to consider the relative value to different outcomes?
- 4. Is the guideline likely to account for important recent developments?
- 5. Has the guidelines been subject to peer review and testing?
- II. What are the recommendations?
 - Are clear, practical, and important recommendations made?
 - 2. How strong are the recommendations?
 - 3. Could the uncertainty in the evidence or values change the guideline is recommendations?
- III. Will the results help me in caring for my patients?
 - Is the objective of the guideline consistent with mine?
 - 2. Are the recommendations applicable to my patients?

References: JAMA 1995; 274: 570-574, JAMA 1995; 274: 1630-1632

CRITICAL REVIEW FOR UTILIZATION REVIEW

- I. Are the Criteria Valid?
 - 1. Was an explicit and sensible process used to identify, select, and combine evidence for the criteria?
 - 2. What is the quality of the evidence used in framing the criteria?
 - 3. If necessary, was an explicit, systematic, and reliable process used to tap expert opinion?
 - 4. Was an explicit and sensible process used to consider the relative values of different outcomes?
 - 5. If the quality of the evidence used in originally framing the criteria was weak, have the criteria themselves been correlated with patient outcomes?
- II. Were the Criteria Applied Appropriately?
 - 1. Was the process of applying the criteria reliable, unbiased, and likely to yield robust conclusions?
 - 2. What is the impact of uncertainty associated with evidence and values on the criteria-based ratings of process of care?
- III. Can You Use the Criteria in Your Practice Setting?
 - 1. Are the criteria relevant to your practice setting?
 - 2. Have the criteria been field-tested for feasibility of use in diverse settings, including your own?

References: JAMA 1996; 275: 1435-1439

CRITICAL REVIEW FOR HEALTH SERVICES RESEARCH

- I. Three Initial Questions
 - Were the outcome measures accurate and comprehensive?
 - 2. Were there clearly identified, sensible comparison groups?
 - 3. How well did the compared groups match up on important factors that can affect the outcomes of a health service:
 - 4. Who provided the service?
 - 5. What service was provided?
 - 6. When was the service provided?
 - 7. Where was the service provided?
 - 8. How was the service provided?
- II. Further Questions
 - 1. Were all important prognostic factors measure?
 - 2. Were measures of patients; | prognostic factors reproducible and accurate?
 - 3. Were patients similar with respect to these factors?
 - 4. How much difference in outcome(s) remains after adjustment for the prognostic factors?

References: JAMA 1996; 275: 554-558

CRITICAL REVIEW FORM FOR DECISION ANALYSIS

- I. Are the Results Valid?
 - Were all important strategies and outcomes included?
 - 2. Was an explicit and sensible process used to assemble the evidence into probabilities?
 - 3. Were the utilities obtained in an explicit and sensible way from credible sources?
 - 4. Was the impact of uncertainty in evidence explored?
- II. What Are the Results?
 - Does one strategy yield a clinically important gain for patients? If not, is the result a "toss-up"?
 - 2. How strong is the evidence used in the analysis?
 - 3. Could uncertainty in the evidence change the results?
- III. Will the Results Help Me In My Patient Care?
 - Do the probabilities fit my patients; |clinical features?
 - 2. Do the utilities reflect my patients; | values?

References: JAMA 1995; 273: 1292-1295, JAMA 1995; 273: 1610-1613

CRITICAL REVIEW FOR ECONOMIC ANALYSIS

- I. Are the Results Valid?
 - 1. Did the analysis provide a full economic comparison of healthcare strategies?
 - 2. Were the costs and outcomes properly measured and valued?
 - 3. Was appropriate allowance made for uncertainties in the analysis?
 - 4. Are estimates of costs and outcomes related to the baseline risk in the treatment population?
- II. What Were the Results?
 - 1. What were the incremental costs and outcomes of each strategy?
 - 2. Do incremental costs and outcomes differ between subgroups?
 - 3. How much does allowance for uncertainty change the results?
- III. Will the Results Help Me In My Patient Care?
 - 1. Are treatment benefits worth the harms and costs?
 - 2. Could my patients expect similar health outcomes?
 - 3. Could I expect similar costs?

References: JAMA 1997; 277: 1552-1557